

Observed suppression of ozone formation at extremely high temperatures due to chemical and biophysical feedbacks

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Ground level ozone concentrations ($[O_3]$) typically show a direct linear relationship with surface air temperature. Three decades of California measurements provide evidence of a statistically significant change in the ozone-temperature slope (Δm_{O_3-T}) under extremely high temperatures (>312 K). This Δm_{O_3-T} leads to a plateau or decrease in $[O_3]$, reflecting the diminished role of nitrogen oxide sequestration by peroxyacetyl nitrates and reduced biogenic isoprene emissions at high temperatures. Despite inclusion of these processes in global and regional chemistry-climate models, a statistically significant change in Δm_{O_3-T} has not been noted in prior studies. Future climate projections suggest a more frequent and spatially widespread occurrence of this Δm_{O_3-T} response, confounding predictions of extreme ozone events based on the historically observed linear relationship.

atmospheric chemistry | isoprene | meteorology | PAN

Temperature is often used as a predictor for high $[O_3]$ (1, 2) because of its direct influence on chemical kinetic rates and the mechanism pathway for the generation of O_3 [e.g., H-abstraction versus OH addition (3)] and strong correlation with stagnant, sunny atmospheric conditions (4). $[O_3]$ increases with temperature with a slope (m_{O_3-T}) in the range of 2–8 ppb K⁻¹ (4–10), and several studies have attempted to isolate the drivers of this relationship, as summarized in ref. 11. Early studies investigating the ozone-temperature relationship noted the impact of peroxyacetyl nitrate (PAN) decomposition on ozone formation (4, 12). The PAN sink for NO_x and odd hydrogen (HO_x) decreases exponentially as temperatures increase, implying a saturation of ozone formation from PAN decomposition as temperatures increase above ~ 310 K. Sillman and Samson (10) found that m_{O_3-T} is a function of multiple chemical processes, including the reaction rate of PAN, emissions of biogenic volatile organic compounds (VOC), photolysis rates, and water vapor concentrations. Therefore whereas absolute temperature is a strong predictor of the effects of incremental temperature change on ozone (2), chemical kinetics and temperature-dependent emission rates further complicate this relationship. For example, prior studies have noted that m_{O_3-T} varies between regions with different NO_x/VOC ratios (13), and can decrease following significant NO_x emissions reductions (6). The m_{O_3-T} relationship has been called a climate change “penalty,” signifying that emissions reductions will need to be more stringent to counteract the effects of warming temperatures (6, 14). However, the stationarity of this ozone-temperature relationship has yet to be evaluated using observations over a broad range of temperatures.

High concentrations of tropospheric ozone ($[O_3]$) are an indicator of poor air quality, and adversely affect the health of humans and ecosystems (15, 16). A suite of chemical and meteorological factors contributes to the formation of ozone. Photochemically driven reactions of VOC in the presence of nitrogen oxides (NO_x) can form ozone at the surface (17), whereas stagnant meteorological conditions promote and maintain ozone events.

Changes in the frequency of certain meteorological features such as fewer midlatitude cyclones (18, 19) or shallower boundary layer depths (20) can also increase $[O_3]$. Whereas significant progress has been made in understanding ozone formation from precursor emissions under varying meteorological and chemical conditions (17, 21), ozone formation under future climatic conditions is limited by model representations of emissions, atmospheric chemical processes, and meteorology (22, 23).

California provides a unique locale to evaluate the ozone-temperature relationship due to the relatively long ozone measurement record and the wide range of climatic zones leading to large variations in temperature across the state. Here we analyze the ozone-temperature relationship from 1980–2005 for four air basins in California: the Sacramento Valley, the San Joaquin Valley, the San Francisco Bay Area, and the South Coast air basins. We employ observations of ground-based ozone from a series of monitoring stations established by the California Air Resources Board (Fig. S1). Daily maximum surface air temperature (T_{max}) data are obtained from a statistically interpolated gridded product of ground-based National Oceanic and Atmospheric Administration (NOAA) station data at $1/8^\circ$ resolution over the same time period (24). These $[O_3]$ measurements provide a unique test-bed for the evaluation of m_{O_3-T} over a broader range of temperatures than considered by prior studies.

Results

Relationships between the daily 1 hour maximum $[O_3]$ ($[O_3]_{max}$) and T_{max} from June 1–October 31 (reflecting the “ozone season” in California) are separated by air basin and decade (Fig. 1). The plateau of concentrations below 295 K suggests a background $[O_3]$ of 30–40 ppb. From 295–312 K, $[O_3]_{max}$ increases approximately linearly with temperature with a slope (m_{O_3-T}) of 2–8 ppb K⁻¹. In all air basins, m_{O_3-T} decreases over time reflecting a reduction in NO_x and VOC emissions from the 1980s to present, with changes in the South Coast the most dramatic and little change in the San Joaquin Valley. As temperatures increase above 312 K into an extremely high temperature range, conditions not unusual for the Central Valley of California, $[O_3]_{max}$ levels off and decreases slightly in some air basins and decades. To date, this response has been observed at other sites but a statistically significant relationship has yet to be determined (6, 9). The response is slightly different in each air basin due to varying precursor emissions and chemistry, yet the observed

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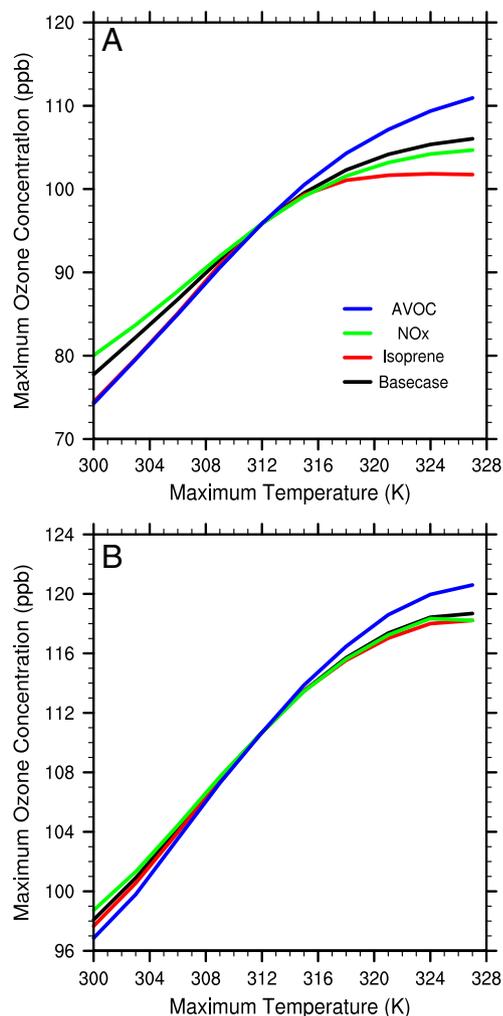


Fig. 2. Modeled ozone–temperature relationships. Simulations in (A) Sacramento and (B) Fresno for present-day emissions and concentrations (black) and three emissions sensitivity tests: NO_x-dependent emissions (green), anthropogenic VOC-temperature dependent emissions (blue), and isoprene emissions as a function of temperature (increasing to 310 K and decreasing thereafter; red).

becomes more rapid as temperatures increase, confining less NO_x and HO_x in PAN and causing an increase in [O₃]_{max} with warmer T_{\max} . However, the impact of further temperature increases diminishes at temperatures above approximately 312 K. The PAN lifetime decreases at an e-folding rate every 6.5 K (or approximately halving every 4.5 K in the range of 280–320 K), leading to a similar decrease in the net PAN sink. Above 312 K, the effect of further changes in the PAN lifetime has less effect on chemistry, leading to a plateau in [O₃]_{max}.

Whereas the PAN decomposition rate can explain a plateau in [O₃]_{max}, it cannot explain the observed decrease in [O₃]_{max} in some locations. Additional emission scenarios are modeled to account for changes in ozone precursor emissions with temperature, where emission rate changes are scaled to the temperature in the base case scenario of 313 K. Although anthropogenic NO_x emissions will likely increase as a result of increased energy demand [estimated to be approximately 1200 MWK⁻¹ (25)], much of the state's electricity is generated out of state during peak demand periods (26), which results in a highly uncertain link between local NO_x emissions and warmer temperatures. However, anthropogenic VOC emissions (e.g., evaporative emissions, industrial processes) are local and affected by warmer temperatures. Three sensitivity tests include (i) NO_x: warmer tempera-

tures increase energy demand and increase NO_x (1% increase per 3 K, reflecting a similar rate as anthropogenic VOC emissions and representing a conservative estimate due to out-of-state emissions), (ii) AVOC: an increase in anthropogenic VOC emissions due to a rise in evaporative emissions [1% increase per 3 K; (27)], and (iii) Isoprene: a temperature-dependent change in isoprene emissions, where isoprene emissions increase with temperature until approximately 310 K then decrease due to biophysical high-temperature constraints (28). Isoprene emissions are known to decrease under drought conditions (29), exhibit strong interspecies variability, and have been observed to acclimate to various temperature maxima (30). As a result, large variability in the temperature at which isoprene decreases is expected, and here we employ the standard isoprene-temperature parameterization based on Guenther et al. (28) to determine the plausibility of this biogenic feedback.

In both Sacramento and Fresno, NO_x and VOC sensitivity tests show the sign and magnitude of Δm_{O_3-T} depends on the relative degree of VOC or NO_x sensitivity. Sacramento is slightly NO_x-sensitive and strongly VOC-sensitive, whereas Fresno is less NO_x-sensitive and slightly VOC-sensitive. Due to stronger VOC sensitivity, the Sacramento case exhibits a slight decrease in [O₃]_{max} at high temperatures due to temperature-sensitive isoprene emissions. This suggests that the temperature dependence of isoprene emissions could be responsible for the observed ozone decrease (Fig. 1). We evaluate observed isoprene concentrations as a function of temperature and find that isoprene increases with increasing temperatures in the range of 290–312 K and decreases with further temperature increases, a pattern of temperature dependence comparable to observed ozone (Fig. 3). Although the Sacramento data do not have measurements in the extremely high temperature range, isoprene concentrations in the San Joaquin and South Coast air basins decrease above 312 K. Together, the model and observations suggest reductions in isoprene emissions could be responsible for the observed decrease in ozone at extremely high temperatures.

Meteorological features can also influence ozone production through changes in convective activity, mesoscale circulation, and boundary layer height. Convective activity and precipitation can limit ozone production in other regions in the United States; however, we note that convective precipitation is nearly absent during California due to the dry summer conditions and we exclude this possibility. We focus on two regional meteorological features that could lead to Δm_{O_3-T} , including the land-sea breeze circulation and changes in the boundary layer height.

The land-sea breeze plays a key role in boundary layer circulation and temperatures in the California region during the dry summers in California (31). Mesoscale flow in central California is driven by heating in the continental interior, causing air to rise and drawing in cleaner air from the Pacific marine boundary layer. Extremely high temperature conditions could enhance this circulation, increasing wind speeds into the Central Valley and diluting ozone. To determine if the general circulation pattern changes under extremely high temperatures, we conduct a series of Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYPLIT) back-trajectory analyses for Sacramento and Fresno (SI Text). Back-trajectory analyses are binned by T_{\max} into moderately hot days ($306 \text{ K} \leq T_{\max} \leq 310 \text{ K}$; 1148 trajectories in Sacramento and 606 trajectories in Fresno) and extremely hot days ($T_{\max} \geq 312 \text{ K}$; 258 trajectories in Sacramento and 147 trajectories in Fresno), and a cluster analysis is performed to determine the dominant circulation patterns (Fig. S2). For Sacramento, onshore flow (defined as air moving from ocean to land) accounts for about 90% of the flow in the moderate temperature case. In the high-temperature case, 73% of the flow is onshore, with an additional 20% of the trajectories indicating valley recirculation. For Fresno, onshore flow accounts for approximately 95% of the trajectories in both cases, yet trajectories

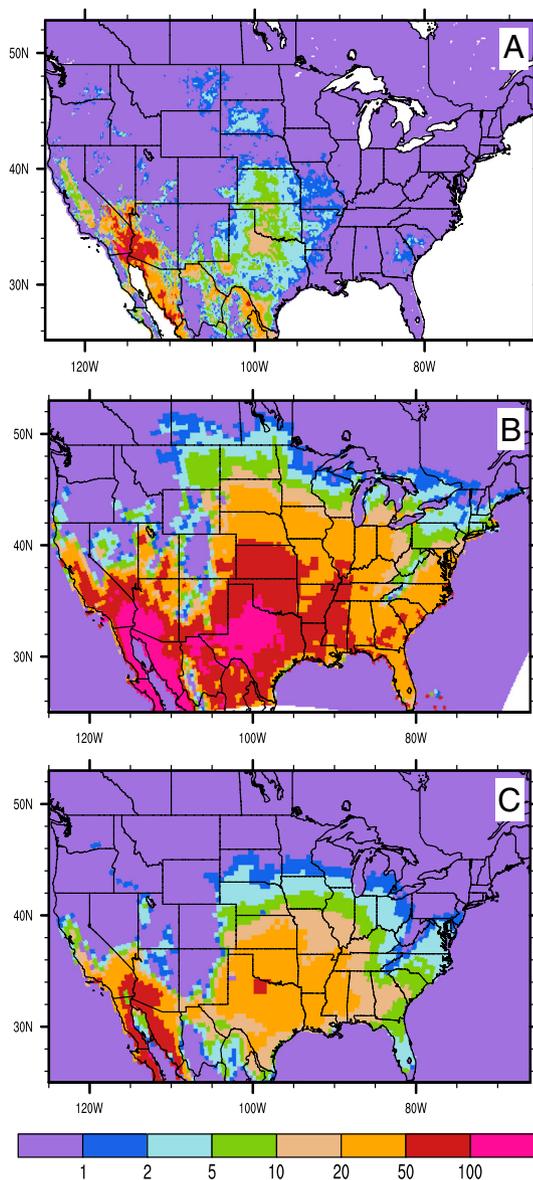


Fig. 4. (A) Present day average number of days per year with temperatures ≥ 312 K (1980–2000; ref. 23). Potential average number of days per year ≥ 312 K based on two NARCCAP future climate realizations (29), indicating (B) a substantial increase in future climate daily T_{\max} (CRM-CGCM) and (C) a conservative increase in future climate daily T_{\max} (RCM-GFDL).

this feedback be included in future estimates of Δm_{O_3-T} and the climate change penalty incurred on ozone precursor emissions reductions.

Materials and Methods

Ozone Data. We employ observations of ground-based ozone from a series of monitoring stations established by the California Air Resources Board [CARB (35)] from 1980–2005 (Fig. S1). We focus on locations in four air basins in California: the Sacramento Valley, the San Joaquin Valley, the San Francisco Bay Area, and the South Coast. In the past decade, the Central Valley (encompassing the Sacramento and San Joaquin Valleys) and the South Coast air basins typically exceed the 1 hour state ozone standard approximately 50–100 times per year, and exceed the 8 hour federal standard 50–120 times per year (36). The San Francisco Bay air basin has fewer violations (usually 10–20 exceedances of the 1 hour state standard and less than 20 violations of the 8 hour federal standard), yet is a large source of ozone precursor emissions to the Central Valley sites. We utilize data from up to 22, 19, 28, and 22 CARB and district sites in the Sacramento, San Joaquin, South Coast, and San Francisco Bay air basins, respectively, over approximately

three decades. At the CARB and district sites, ozone is sampled on a continuous hourly basis using ultraviolet photometry.

Temperature Data. We utilize daily maximum surface air temperature from the 1/8th degree gridded dataset by Maurer et al. (24). The stations used in this analysis are predominantly from the NOAA Cooperative Observer stations, with an average station density of one station per 700 km² over the entire United States (24). Gridded data is available through 2005, therefore data analysis in the 2000s decade is limited by the available meteorological data.

Isoprene Concentration Data. Isoprene concentration data is obtained from the Environmental Protection Agency (EPA) Photochemical Assessment Monitoring Stations (PAMS) (www.epa.gov/air/oaqps/pams). Isoprene monitoring locations are noted in Fig. S1. Data is available for 21 sites in three air basins in 1993–2005, including four sites in the Sacramento Valley, nine sites in the San Joaquin Valley, and eight sites in the South Coast air basin.

Statistical Significance. Statistical significance testing for the ozone-temperature slope change (Δm_{O_3-T}) uses a one-tailed Z test to evaluate if the computed linear slope within an “extremely high” temperature regime is significantly less than the linear slope within a “normal” temperature regime, at a 95% confidence level ($\alpha = 0.05$). Daily maximum ozone and daily maximum temperature data are segregated into two sets, one including all data with a temperature greater than 295 K and less than a high-temperature cutoff (the normal temperature regime), and the other including all data with a temperature greater than or equal to the high-temperature cutoff (the extremely high temperature regime). An ordinary least-squares linear regression is performed on the raw (i.e., not temperature-binned) data for each set, using temperature as the independent variable and ozone as the dependent variable (Table 1).

A Z test statistic determines if the slope at extremely high temperatures is significantly lower than at normal temperatures:

$$Z = \frac{(m_{O_3,T}^H - m_{O_3,T}^N) - 0}{\sqrt{\frac{\sigma^2_H}{n_H} + \frac{\sigma^2_N}{n_N}}}$$

where H superscripts and subscripts denote the extremely high temperature regime and N superscripts and subscripts refer to the normal temperature regime. $m_{O_3,T}$ represents the ozone-temperature slope (values in Table 1), σ^2 is the variance of regression residuals, and n represents the number of data points in the given subset. Note that the statistical significance of the slope difference can also be calculated by isolating the difference in slope above the temperature cutoff directly, but the above approach has the advantage of allowing for different residual variances above and below the cutoff. Because the residuals for extremely high temperatures have a higher variance than those at lower temperatures, the above method was selected although the conclusions regarding the significance of the slope difference were largely consistent for both approaches.

The statistical significance is expressed as the p -value for the computed test statistic Z (Table 1), representing the probability that the reduction in slope is due to random sampling variability rather than a true difference in behavior. The p -value for a lower-bound hypothesis test is calculated as the cumulative probability of a standard normal distribution up to the computed value of Z . A p -value below 0.05 implies a significant reduction in slope at the $\alpha = 0.05$ significance level.

The high-temperature cutoff value for each air basin and decade, which is used to segregate the ozone-temperature data pairs into the normal and extremely hot temperature regimes, is chosen to maximize the significance of the difference in slope. Ultimately, the cutoff temperature (noted in Table 1) that is used for each dataset is the one that results in the most significantly different slope between the normal temperature and the extremely high temperature slopes. A similar statistical analysis was performed for the isoprene-temperature relationship (Fig. 3) with statistics presented in Table S1.

Photochemical Box Model Simulations. The box model [with modifications described in (37)] calculates urban photochemistry for a single grid cell. Ambient concentrations are continually diluted by horizontal advection (based on a 1.5 m s⁻¹ wind) and entrainment from aloft (with mixing height increasing from 150 m at night to 1500 m in the afternoon). Temperature varies diurnally over a range of 20 K, which is typical for California’s Central Valley (32). Photochemistry is based on the GEOS-Chem mechanism (38) with extensions for urban photochemistry (39). Initial, upwind and aloft primary VOC and NO_x concentrations are set equal to 24 hour average values from 3D air quality simulations described in Steiner et al. (13). Emission rates for Sacra-

mento and Fresno are derived from regional air quality simulations and based on a maximum local temperature of 313 K (13). Initial, upwind and aloft $[O_3]$ are set to background values (40 ppb) to avoid inclusion of O_3 associated with local or regional production. Separate simulations are conducted for each temperature bin, and the diurnal T_{max} is plotted versus $[O_3]_{max}$ in Fig. 3.

We note that the range of modeled $[O_3]_{max}$ and m_{O_3-T} differs from observed values. For example, observed and modeled $[O_3]_{max}$ are comparable at high temperatures (100–120 ppb in Sacramento and Fresno) yet over estimated at lower temperatures. Additionally, modeled m_{O_3-T} (1–1.5 ppb K^{-1}) is lower than that observed (2–3 ppb K^{-1}). This mismatch between observed and modeled m_{O_3-T} has been noted in other modeling studies (e.g., ref. 10), whereas Jacob et al. (4) found that approximately half the observed m_{O_3-T} can be explained by the association of high temperatures with stagnant dynamical conditions. Our meteorological analysis confirms this association for California. Additional evidence is found in the Ito et al. study (8), which reported a modeled m_{O_3-T} of 2–2.5 ppb K^{-1} for the Sierra foothills region of California and is comparable to observed m_{O_3-T} (40). Because Ito et al. (8) used the same chemistry as in the calculation shown here, it is plausible to attribute the difference in modeled and observed m_{O_3-T} to differences in dynamics, time of year and the occurrence of clouds or fog.

NARCCAP Future Climate Simulations. Future climate daily T_{max} are evaluated using dynamically downscaled simulations from the NARCCAP (33). Presently, the NARCCAP archives include four different future climate model simulations of the A2 emissions scenario for the time period of 2038–2070. To remove any effects of model spinup, we remove the first three years of this study for our analysis. Additionally, simulations through 2070 were

not available for all regional models, therefore we analyze years 2041–2065 here. Three different regional climate models and varying global boundary conditions are available, including (i) Canadian Regional Climate Model (Fig. 4A) driven by the Canadian Global Climate Model version 3 (CGCM3); (ii) the Hadley Center Regional Climate Model driven by the United Kingdom Hadley Centre Climate Model version 3 (HadCM3 resolution), (iii) the ICTP Regional Climate Model (RCM3) driven with the CGCM3, and (iv) RCM3 driven by the NOAA Geophysical Fluid Dynamics Laboratory Climate Model version 2.1 (GFDL CM2.1) (Fig. 4B). The equilibrium climate sensitivities of the four driving boundary conditions are 3.4 °C (CGCM3), 2.7 °C (CCSM3), 3.4 °C (GFDL), and 3.3 °C (HadCM3); these simulations are centered on the middle of the Intergovernmental Panel on Climate Change sensitivity range of 2.1–4.4 °C and are close to the estimate of the most likely sensitivity range of 3.0 °C (41). For the figures presented in the main text of the paper, we select the most sensitive (CRM-CGCM3) and the least sensitive (RCM3-GFDL) of these options with respect to the spatial coverage and magnitude of daily T_{max} (Fig. 4).

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